

2 Credit Hour Live Webinar Presentation

“Ethics CE: CFP Board’s Revised Code and Standards Ethics for CFP Professionals” (CFP Course#: 277681 / CIMA/CPWA/RMA Course#: 21BEST011)

COURSE OVERVIEW

This webinar is approved by the Certified Financial Planner Board of Standards, Inc. and fulfills the requirement for CFP Board approved Ethics CE. It is designed to educate CFP® professionals on CFP Board’s new *Code of Ethics and Standards of Conduct*. (Investments & Wealth Institute® has accepted this CFP Ethics webinar for 2 hours of CE credit towards the CIMA®, CPWA® and RMA® certifications.)

LEARNING OBJECTIVES

By the end of this program, the participant should be equipped to:

- **LO 1:** Understand the Structure and Content of the Revised Code and Standards, Including Significant Changes from Prior Rules.
- **LO 2:** Describe CFP Board’s Fiduciary Duty.
- **LO 3:** Identify Material Conflicts of Interest and How to Avoid, or Fully Disclose, Obtain Informed Consent, and Manage Them.
- **LO 4:** Understand the Duty to Report to CFP Board and the Duty to Cooperate.
- **LO 5:** Identify the *Practice Standards* When Providing Financial Advice that Requires Financial Planning or Financial Planning.
- **LO 6:** Understand the Duty to Provide Information to Clients When Providing Financial Advice and/or Financial Planning.

WEBINAR INCLUDES

- Six Learning Objectives
- Five vignettes throughout each Learning Objective
- CFP Board mandated Evaluation Survey at the conclusion of the presentation (Will open after you have left the webinar. The link to the mandatory evaluation survey may also be found in the Webex registration email, as well as the support email sent to each attendee.)